

PTO/SB/21 (09-06)

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**TRANSMITTAL  
FORM**

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Total Number of Pages in This Submission

Application Number 09/705,351

Filing Date November 3, 2000

First Named Inventor IJIRI et al.

Art Unit 3744

Examiner Name FORD, John K.

Attorney Docket Number 4296-125 US

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
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<input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

1. Amendment (8 pages)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Mathews, Shepherd, McKay & Bruneau, P.A.		
Signature			
Printed name	Cynthia Soumoff, Ph.D, J.D.		
Date	February 21, 2007	Reg. No.	38,314

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Cynthia Soumoff, Ph.D, J.D., Reg. No. 38,314	Date	February 21, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

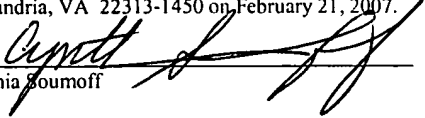
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Reply under 37 CFR 1.116 – Expedited Procedure – Technology Center 3700

Docket No. 4296-125 US

The undersigned certifies that this communication is being deposited with the United States Postal Service as prepaid first class mail in an envelope addressed to Mail Stop: AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 21, 2007.

  
Cynthia Soumoff

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Customer No. 26817
IJIRI et al.	:	
	:	
Serial No. 09/705,351	:	Group Art Unit: 3744
	:	
Filed: November 3, 2000	:	Examiner: FORD, John K.
	:	
Title: VERTICAL HEAT EXCHANGER	:	Confirmation No. 6855
	:	
	:	

X

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**AMENDMENT**

Sir:

In response to the Office Action dated November 28, 2006, please amend the above-identified application as follows: